

Vulnerability and Adaptive Capacity Assessment (VACA) Household Survey 1/2011

ICIMOD/AKRSP

Enumerator: _____	Time ____:____ to ____:____	Date (Y/M/D): 20____/____/____
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Region/Province:	District:	VDC/Municipality:	Ward:
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Respondent's age:	Sex= M (1)/ F (2)	GPS coordinates Lat: Long:	HH code:	Consent: _____
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Relation to HH head = head (1)/ husband, wife (2) / son, daughter (3)/ grandchild (4)/ father, mother (5)/ brother, sister (6)/ nephew, niece (7)/ son-, daughter-in-law (8)/ brother-, sister-in-law (9)/ father-, mother-in-law (10)/ other family relative (11)/ servant, servant's relative (12)/ tenant, tenant's relative (13)/ co-wife, co-husband (14)/ other (15)

HH head's name: _____ HH head's marital status= *married* (1)/ *single* (2)/ *divorced* (3)/ *widowed* (4)

[only if relation not "1"] HH head's age: _____ [only if relation not "1"] HH head's sex= M (1) / F (2)

Note: Do not know -1 Not applicable -6

1.1	How many persons have eaten and slept (stayed/resided) in your household for at least six months during the last year? # of household members: <input type="text"/>
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1.2	How many of those are females and males of the following age groups: age 5 or younger, age 6 to 14, age 15 to 64, and age 65 and older? <i>[Put "0" if not applicable. Make sure number of persons adds up to total number of HH members.]</i>																
	<table border="1"> <tr> <td>males age 0-5</td><td><input type="text"/></td> <td>males age 6-14</td><td><input type="text"/></td> <td>males age 15-64</td><td><input type="text"/></td> <td>males age 65+</td><td><input type="text"/></td> </tr> <tr> <td>females age 0-5</td><td><input type="text"/></td> <td>females age 6-14</td><td><input type="text"/></td> <td>females age 15-64</td><td><input type="text"/></td> <td>females age 65+</td><td><input type="text"/></td> </tr> </table>	males age 0-5	<input type="text"/>	males age 6-14	<input type="text"/>	males age 15-64	<input type="text"/>	males age 65+	<input type="text"/>	females age 0-5	<input type="text"/>	females age 6-14	<input type="text"/>	females age 15-64	<input type="text"/>	females age 65+	<input type="text"/>
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1.3	During the last 12 months, how many adult female and male members of your household had lived and worked in a different town or village within the country for 0 to 3 months, 4 to 6 months, 7 to 9 months, and 10 months or more? <i>[During the 12 month preceding the survey. Put "0" if not applicable.]</i>																		
	<table border="1"> <tr> <td>Male migrants</td><td>0-3 months</td><td><input type="text"/></td> <td>4-6 months</td><td><input type="text"/></td> <td>7 to 9 months</td><td><input type="text"/></td> <td>10 months or more</td><td><input type="text"/></td> </tr> <tr> <td>Female migrants</td><td>0-3 months</td><td><input type="text"/></td> <td>4-6 months</td><td><input type="text"/></td> <td>7 to 9 months</td><td><input type="text"/></td> <td>10 months or more</td><td><input type="text"/></td> </tr> </table>	Male migrants	0-3 months	<input type="text"/>	4-6 months	<input type="text"/>	7 to 9 months	<input type="text"/>	10 months or more	<input type="text"/>	Female migrants	0-3 months	<input type="text"/>	4-6 months	<input type="text"/>	7 to 9 months	<input type="text"/>	10 months or more	<input type="text"/>
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1.4	During the last 12 months, how many adult female and male members of your household had lived and worked in a town or village in another country for 0 to 3 months, 4 to 6 months, 7 to 9 months, and 10 months or more? <i>[During the 12 month preceding the survey. Put "0" if not applicable.]</i>																		
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2.1	What is the religion of the household head? <i>[Select only one option.]</i>				
	<table border="1"> <tr> <td>Hindu (1)</td><td>Muslim (2)</td><td>Christian (3)</td><td>Other (4)</td> </tr> </table>	Hindu (1)	Muslim (2)	Christian (3)	Other (4)
Hindu (1)	Muslim (2)	Christian (3)	Other (4)		

2.2	What is the ethnicity/tribe of the household head? Ethnicity/tribe specify <input type="text"/>
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2.3	What is the highest completed level of education of the household head? <i>[Select only one option.]</i>																				
	<table border="1"> <tr> <td>Class 1 (1)</td><td>Class 2 (2)</td><td>Class 3 (3)</td><td>Class 4 (4)</td> </tr> <tr> <td>Class 5 (5)</td><td>Class 6 (6)</td><td>Class 7 (7)</td><td>Class 8 (8)</td> </tr> <tr> <td>Class 9 (9)</td><td>Class 10 (10)</td><td>SLC (11)</td><td>Class 12/ Intermediate level (12)</td> </tr> <tr> <td>Bachelor level (13)</td><td>Master level (14)</td><td>Professional degree (15)</td><td></td> </tr> <tr> <td>Literate (non-formal education) (16)</td><td>Illiterate (17)</td><td>Don't know (-1)</td><td></td> </tr> </table>	Class 1 (1)	Class 2 (2)	Class 3 (3)	Class 4 (4)	Class 5 (5)	Class 6 (6)	Class 7 (7)	Class 8 (8)	Class 9 (9)	Class 10 (10)	SLC (11)	Class 12/ Intermediate level (12)	Bachelor level (13)	Master level (14)	Professional degree (15)		Literate (non-formal education) (16)	Illiterate (17)	Don't know (-1)	
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3.1	How many female and male members of your household age 6 and older can read and write a letter? # of male members <input type="text"/> # of female members <input type="text"/>
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3.2	How many female and male children (age 6-14) in your household do not attend school? # of male children <input type="text"/> # of female children <input type="text"/> <i>[If both "0" skip to question 4.1.]</i>
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3.3	What are the reasons that one or more children do not attend school? <i>[More than one option possible.]</i>				
	There is no school		School is too far away		
	HH cannot afford school fees and supplies		Children have to do household work		
	Children have to do agricultural work		Education is not useful		
	Children are not willing to attend school		Children are mentally/ physically challenged		
	Security reasons				
	Others, specify:	Yes (1)	No (2)		
4.1	In the last 12 months, how often has a male member of your household been seriously ill (meaning they are so ill that they cannot work)?				
	Never (1)	Once or twice (2)	Once a month (3)	A few times a month (4)	
4.2	In the last 12 months, how often has a female member of your household been seriously ill (meaning they are so ill that they cannot work)?				
	Never (1)	Once or twice (2)	Once a month (3)	A few times a month (4)	
4.3	Can your household afford treatment for serious illness or injury?				
	No (1)	Yes, if money is borrowed (2)	Yes, with much difficulty (3)	Yes, with some difficulty (4)	
5.1	Does your household own this dwelling?				
	Yes (1)	No (2)			
5.2	Which member of the household owns this dwelling?				
	Male head of household (1)	Female head of household (2)	Other male member of household (3)	Other female member of household (4)	Shared ownership (5) Other (6)
5.3	If someone wanted to rent this dwelling today, how much money would they have to pay each month? <i>[Put value in local currency. If respondent unsure ask for approximation.]</i>				
	Rent:				
5.4	How many rooms of this dwelling does your household occupy? <i>[All the rooms in house. Not including the room/space for the animals (cowshed and stables).]</i>				
	# of rooms:				
6.1	<i>[Information to be collected by enumerator while in the household (ask only if unable to determine answer visually)]</i>				
	What is the primary construction material of the housing unit's exterior walls?				
	1. Grass/ leaves/ reeds	2. Thatch/ bamboo	3. Plastic/ fabric		
	4. Metal/ GI/ Asbestos sheets	5. Wood/ branches	6. Mud		
	7. Unburnt bricks	8. Stones	9. Burnt bricks		
	10. Concrete	11. Other, specify:			
6.2	<i>[Information to be collected by enumerator while in the household (ask only if unable to determine answer visually)]</i>				
	What is the primary construction material of the housing unit's main roof ?				
	1. Straw/ reeds	2. Thatch/ bamboo	3. Plastic/ fabric		
	4. Metal/ GI/ Asbestos sheets	5. Wood/ planks	6. Mud		
	7. Tiles/ shingles/ slates	8. Stones	9. Concrete		
	10. Other, specify:				
6.3	Can your home withstand strong winds, severe rain, snow or hail without significant damage?				
	No (1)	Yes (2)	Yes, with minor damage (3)	Perhaps, but with significant damage likely (4)	
7.1	What is the primary source of light your home uses?		<i>[Do not read out all options. Just ask questions and select the appropriate ones.]</i>		
			1. Electricity from local grid	2. Electricity from national grid	
7.2	What is the primary fuel source your household uses for cooking?		3. Electricity from a generator	4. Electricity from solar cells, wind turbine or small, hydroelectric dam	
			5. Liquid fuel [petrol, kerosene]	6. Gas fuel [methane from tank, biogas]	7. Coal or charcoal
7.3	What is the primary fuel source your household uses for heat?		8. Vegetable or animal based fats or oils	9. Candle, paraffin wax, or battery-powered source	
			10. Wood, sawdust, grass or other natural material	11. Other, specify:	
			-2. None	-3. Heat not needed in region	

8	<p><i>[If electricity is not available then skip to question 9.]</i> During last 12 months, approximately for how many hours per day on average was the electricity available to your household?</p> <table border="1"> <tr> <td>During the rainy season</td> <td></td> <td>During the dry season</td> <td></td> <td>During rest of the year</td> <td></td> </tr> <tr> <td colspan="2">No rainy season in our area (-2)</td> <td colspan="2">No dry season in our area (-3)</td> <td colspan="2"></td> </tr> </table>	During the rainy season		During the dry season		During rest of the year		No rainy season in our area (-2)		No dry season in our area (-3)																		
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9	<p>What type of toilet facility does your household usually use? <i>[Do not read out all options. Just ask question and select the appropriate one.]</i></p> <table border="1"> <tr> <td>None (open defecation) (1)</td> <td>Open pit (2)</td> </tr> <tr> <td>Enclosed pit (3)</td> <td>Enclosed improved-ventilation pit (4)</td> </tr> <tr> <td>Enclosed pour-flush (5)</td> <td>Enclosed flush (6)</td> </tr> <tr> <td>Compost or biogas (7)</td> <td>Other, specify (8):</td> </tr> </table> <p>"Open" means there is no structure, or a structure with no roof. "Enclosed" means there is a structure with any sort of roof.</p>	None (open defecation) (1)	Open pit (2)	Enclosed pit (3)	Enclosed improved-ventilation pit (4)	Enclosed pour-flush (5)	Enclosed flush (6)	Compost or biogas (7)	Other, specify (8):																			
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10.1	<p>What is the main source (meaning, the source water comes from immediately before being used) of the water your household uses for drinking?</p> <table border="1"> <tr> <td>During the rainy season</td> <td></td> <td>During the dry season</td> <td></td> <td>During rest of the year</td> <td></td> </tr> <tr> <td colspan="2">No rainy season in our area (-2)</td> <td colspan="2">No dry season in our area (-3)</td> <td colspan="2"></td> </tr> </table> <p><i>[Do not read out all options. Just ask questions and select the appropriate ones.]</i></p> <table border="1"> <tr> <td>1. Unprotected dug well</td> <td>2. Protected dug well</td> <td>3. Borehole</td> </tr> <tr> <td>4. Unprotected spring</td> <td>5. Protected spring</td> <td>6. Pond/ river/ stream/ canal</td> </tr> <tr> <td>7. Public standpipe</td> <td>8. Piped water inside the house</td> <td>9. Piped water inside the community</td> </tr> <tr> <td>10. Rainwater collection</td> <td>11. Vendor provided/ bottled water</td> <td>12. Water tanker</td> </tr> <tr> <td colspan="3">13. Other, specify:</td> </tr> </table>	During the rainy season		During the dry season		During rest of the year		No rainy season in our area (-2)		No dry season in our area (-3)				1. Unprotected dug well	2. Protected dug well	3. Borehole	4. Unprotected spring	5. Protected spring	6. Pond/ river/ stream/ canal	7. Public standpipe	8. Piped water inside the house	9. Piped water inside the community	10. Rainwater collection	11. Vendor provided/ bottled water	12. Water tanker	13. Other, specify:		
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10.2	<p>Approximately how much time (in minutes) does it take a member of your household to collect water for your needs for a normal day? <i>[If water is collected from a piped supply in the household record "1" minute]</i></p> <table border="1"> <tr> <td>During the rainy season</td> <td></td> <td>During the dry season</td> <td></td> <td>During most of the year</td> <td></td> </tr> <tr> <td colspan="2">No rainy season in our area (-2)</td> <td colspan="2">No dry season in our area (-3)</td> <td colspan="2">Don't know (-1)</td> </tr> </table>	During the rainy season		During the dry season		During most of the year		No rainy season in our area (-2)		No dry season in our area (-3)		Don't know (-1)																
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11	<p>Does your household treat water before drinking it (any treatment method: boiling, allowing to settle, filter, chemical treatment, etc.)?</p> <table border="1"> <tr> <td>Never (1)</td> <td>Rarely (2)</td> <td>Sometimes (3)</td> <td>Often (4)</td> <td>Always (5)</td> </tr> </table>	Never (1)	Rarely (2)	Sometimes (3)	Often (4)	Always (5)																						
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12	<p>During the last 12 months, for how many months was your household's main source of water sufficient to meet your household's needs (e.g. drinking, cooking, and washing)?</p> <p>Months: <input type="text"/></p>																											
13	<p>How often can your household afford to purchase (direct payments only, not maintenance fees) water for your household's needs?</p> <table border="1"> <tr> <td>Never (1)</td> <td>Rarely (2)</td> <td>Sometimes (3)</td> <td>Often (4)</td> <td>Always (5)</td> </tr> <tr> <td colspan="5">Do not need to pay for water (-2)</td> </tr> </table>	Never (1)	Rarely (2)	Sometimes (3)	Often (4)	Always (5)	Do not need to pay for water (-2)																					
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14	<p>Generally, what do you think the drinking quality of your households' water is?</p> <table border="1"> <tr> <td>Very poor (1)</td> <td>Poor (2)</td> <td>Fair (3)</td> <td>Good (5)</td> <td>Very good (5)</td> </tr> </table>	Very poor (1)	Poor (2)	Fair (3)	Good (5)	Very good (5)																						
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15	<p>Does your household have access to land for agriculture?</p> <table border="1"> <tr> <td>Yes (1)</td> <td>No (2) <i>[Skip to question 28]</i></td> </tr> </table>	Yes (1)	No (2) <i>[Skip to question 28]</i>																									
Yes (1)	No (2) <i>[Skip to question 28]</i>																											
16	<p>How much land does your household have for agriculture (for crops, grass, trees, etc.)? <i>[Enumerator to convert local measurement to hectares. Put "0" if not appropriate.]</i></p> <table border="1"> <tr> <td>Crop farming</td> <td></td> <td>Orchard/Tree crops</td> <td></td> <td>Grassland/Pasture</td> <td></td> </tr> <tr> <td>Kitchen garden</td> <td></td> <td>Fallow</td> <td></td> <td>Other, specify:</td> <td></td> </tr> </table>	Crop farming		Orchard/Tree crops		Grassland/Pasture		Kitchen garden		Fallow		Other, specify:																
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17.1	<p>How many plots of land does your household use for agriculture? <i>[Put "0" if not appropriate.]</i></p> <p># of plots: <input type="text"/></p>																											
17.2	<p>Are all the plots in the origin community or spread across different communities?</p> <table border="1"> <tr> <td>All plots of land in the origin community(1)</td> <td>Plots of land in more than one community (2)</td> <td>All plots of land in other community(s) (3)</td> </tr> </table>	All plots of land in the origin community(1)	Plots of land in more than one community (2)	All plots of land in other community(s) (3)																								
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17.3	<p>Approximately, how much time in minutes does it take to get from your household to the farthest plot? <i>[Record time in minutes, i.e. 2 hours =120 min.]</i></p> <p># of minutes: <input type="text"/></p>																											

18	Is the majority of your household's land flat, gently-sloping, steep or terraced? Flat (1) Gently sloping (2) Steep (3) Terraced (4) Mixed (5)
19	How much of the household's land is irrigated or rain-fed? <i>[Enumerator to convert local measurement to hectares. Put "0" if not appropriate.]</i> Irrigated Only rain-fed
20	What kind of soil covers the majority of your household's land? Stony-gravelly (1) Clay (2) Loamy [mixed clay, sand &/or silt] (3) Sandy (4) Wet (5) Droughty (6) Mixed, specify (7): Other, specify (8): Don't know (-1)
21.1	How much land operated by your household is owned, leased, share cropped or tenured access to common property resource? <i>[Enumerator to convert local measurement to hectares. Put "0" if not appropriate.]</i> Owned Leasehold Share cropping arrangement Tenure access in common property resource Other, specify: <i>[If the household does not own any land skip to question 22.1]</i>
21.2	What percentage of the household agricultural land is owned by female and male members of this household? <i>[Record only for 'owned' land. Put "0" if not appropriate.]</i> Female % Male % Jointly % Other %
22.1	During the last 12 months, which of the following did your household use on your farm? <i>[More than one option possible.]</i> Compost Manure Chemical fertiliser Chemical pesticide Organic pesticide Used (1) Not used (0) <i>[If none is used, skip to question 23.]</i>
22.2	During the last 12 months, how often was your household able to make, or buy , enough compost/manure, chemical fertiliser, and/or pesticide and organic pesticide for each growing season? Never (1) Rarely (2) Sometimes (3) Often (4) Always (5)
23	During the last 12 months, which of the following did you use on your farm? <i>[More than one option possible.]</i> Ox/Male Buffalo/Horse Tractor Harvester Power Tiller Thresher Used (1) Not used (2)
24.1	Does your household mainly buy seeds, save seeds, or receive seeds for free? Mainly buys seeds (1) Mainly saves seeds (2) Mainly receives seeds for free (3)
24.2	During the last 12 months, for how many months was your household able to buy, save or receive enough seeds? Months=
25.1	During the last 12 months, what kind of staples and cash crops did your household grow? <i>[Do not read out all options. Just ask question and select the appropriate one. Record up to 5 crops per category. Put "-6" if not applicable.]</i> Staple crops: #1 #2 #3 #4 #5 Cash crops: #1 #2 #3 #4 #5 Early paddy (1) Main paddy (2) Upland paddy (3) Wheat (4) Winter/spring maize (5) Summer maize (6) Millet (7) Barley (8) Buckwheat (9) Other cereals (10) Soybean (11) Black gram (12) Red gram (13) Grass pea (14) Lentil (15) Horse gram (16) Pea (17) Green gram (18) Coarse gram (19) Cow pea (20) Other legumes (21) Winter potato (22) Summer potato (23) Sweet potato (24) Colocasia (25) Other tubers (26) Mustard (27) Ground nut (28) Linseed (29) Sesame (30) Other oilseed (31) Sugarcane (32) Jute (33) Tobacco (34) Other cash crops (35) Chillies (36) Onions (37) Garlic (38) Ginger (39) Turmeric (40) Cardamom (41) Coriander Seed (42) Other spices (43) Winter vegetables (44) Summer vegetables (45) Orange (46) Lemon (47) Lime (48) Sweet lime (49) Other citrus (50) Mango (51) Banana (52) Guava (53) Jack fruit (54) Pineapple (55) Lichee (56) Pear (57) Apple (58) Plum (59) Papaya (60) Pomegranate (61) Other fruit (62) Tea (63) Thatch (64) Podder trees (65) Bamboo (66) Cherries (67) Apricot (68) Walnut (69) Other trees (70)

25.
2

During last 12 months, what quantity of the five important staple crops was produced by the household? During last 10 years, had there been any changes in productivity of the same crops?

[Importance of crops as perceived by the household. Use the crop code from question 25.1. Report the quantity in 'kilograms']

Crop code	Production in last 12 months	Change in productivity over the last 10 years

Change in productivity	Increasing (+1)	No change (0)	Decreasing (-1)
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25.
3

During last 12 months, what quantity of the five important cash crops was produced by the household? During last 10 years, had there been any changes in productivity of the same crops?

[Importance of crops as perceived by the household. Use the crop code from question 25.1. Report the production in 'kilograms']

Crop code	Production in last 12 months	Change in productivity over the last 10 years

Change in productivity	Increasing (+1)	No change (0)	Decreasing (-1)
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26

During the last 12 months, what was the income from the sale of staple crops and cash crops?

[Record the income from sales in local currency. Put "0" if not appropriate.]

Staple crops		Cash crops	
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27.
1

During the last 12 month, for how many months was there enough water for your household's crops?

Months=	
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27.
2

How often can your household afford to purchase (direct payments only, not maintenance fees) water for your household's crops?

Never (1)	Rarely (2)	Sometimes (3)	Often (4)	Always (5)
Do not need to pay for water (-2)				

31	During the last 12 months, has your household been involved in any transhumance practices?				
	Yes (1)		No (2) [skip to question 32]		
32	During the last 12 month, for how many months was your household able to grow, collect or buy enough fodder?				
	Months=				
33.1	During the last 12 month, for how many months was there enough water for your household's livestock?				
	Months=				
33.2	How often can your household afford to purchase (direct payments only, not maintenance fees) water for your household's livestock?				
	Never (1)	Rarely (2)	Sometimes (3)	Often (4)	Always (5)
	Do not need to pay for water (-2)				
34	Does your household breed or catch fish?				
	Yes (1)		No (2) [skip to question 37]		
35	During the last 12 month, how many kilos of fish did your household produce or catch? [Put "-6" for main source if not appropriate. Report the production in 'kilograms'. Put "0" for production if not appropriate.]				
	Activity	Main source	Quantity		
	Fish breeding				
	Fish catching				
	Total				
	Main source: River/Stream/Canal (1) Lake/Pond (2) Wetland (3) Agricultural land (4) Others (5)				
36	During the last 12 month, for how many months was there enough water for your household's fish breeding/catching?				
	Months=				
37	During the last 30 days, on average how many hours/day did the following household members spend in fetching fuel wood, fetching drinking water and tending livestock?				
	Household members	Duration (hours/day)			
		Fetching fuel wood	Fetching water	Tending livestock	
	males age 6-14				
	females age 6-14				
	males age 15-64				
	females age 15-64				
	males age 65+				
	females age 65+				
38.1	[Ask only if HH has agricultural land, livestock, or is engaged in fish breeding/catching. Otherwise skip to question 40] How many members of your household work on your farm (agriculture, livestock, and fish breeding)?				
	males age 6-14		males age 15-64		males age 65+
	females age 6-14		females age 15-64		females age 65+
38.2	How often does your household have enough people to work/manage your farm?				
	Never (1)	Rarely (2)	Sometimes (3)	Often (4)	Always (5) [skip to question 40]
39.1	How did your household overcome the labour shortage mentioned in question 38.2? More than one option possible. Do not read all options.				
	Household members worked extra hours		Hired labour		
	Left the land fallow		Introduced less labour intensive crop species		
	Gave up labour intensive crop species		Reduced the number of livestock		
	Changed the type of livestock		Exchanged labour with other households		
	Other, specify:				
	Done (1)	Not done (2)			

39.2 [Ask only if household had hired labour (cash or in-kind).]
Does the hired labour **mainly** belong to the same community or somewhere else?

Same community (1)	Somewhere else (2)
--------------------	--------------------

39.3 [Ask only if household had hired labour (cash or in-kind).]
During the last 12 months, how many female and male workers did your household employ?
[Put "0" if not appropriate.]

Female		Male	
--------	--	------	--

40 Which of the following activities were **mainly** done by **female** and **male** members of your households?
[An activity can be done by both male and female members of the household. Read all options. Put "-6" if not appropriate.]

Household activities	Household member	Household activities	Household member
Cooking		Ploughing	
Cleaning home		Compost making	
Fetching water		Manuring in the field	
Collecting firewood		Irrigating field	
Collecting fodder/forage		Seed purchase	
Feeding animals		Sowing	
Cleaning/bathing animals		Weeding	
Milking		Harvesting	
Milk processing		Processing the harvest	
Cattle-shed cleaning and management		Storing the harvest	
Farm yard manure management		Working on kitchen gardens/ home gardens	
Caring of children and elderly people		Orchard management	
Fishing		Selling products in the market	
Fish breeding			

Done: Mainly female (1) Mainly male (2) Male and female together (3) Hired labour (4) Other (5)

41 During the last 12 months, what quantity of the following products did your household collect from the area surrounding your community?
[More than one option possible. Read all options.]

Products	Collected	Main source	Quantity in local unit	Local unit	Quantity in kilograms (kg) / cubic feet (cft)
Timber					cft
Branches/ twigs					Kg
Firewood					Kg
Wild edible vegetables					Kg
Wild edible fruits					Kg
Dried/fallen leaves/ leaf litter					Kg
Foliage					Kg
Fodder					Kg
Forage/ Grass					Kg
Bamboo					Kg
Reed/Cane					Kg
Thatch					Kg
Mud/Stone [for construction]					Kg
Medicinal or aromatic plants					Kg
Pole/shafts					Kg
Game animals					Kg
Other, specify					

Collected: Yes (1) No (2)

Main source: Rangeland/Meadows/Pasture (1) Community forest (2) Wetland (3) Protected area (4) Private land (5) Others (6)

Please, describe the accessibility to the following areas in/near your community regarding the pursuit of activities such as collection of timber, and non-timber forest products, grazing, fishing, etc.

[Cost of access includes fees to obtain permits or bribes. Please, record the cost of access in local currency. Put "-6" for accessibility and implementing institution if not appropriate. Put "0" for cost of access if not appropriate.]

Area	Accessibility	Implementing institution	Cost of access
Rangeland/Meadows/Pasture			
Community forest			
Wetland			
Protected area			

Accessibility	Year round free access (1)	Access for less than 1 month in a year based on a permit (2)
	Access for 1-3 months in a year based on a permit (3)	Access for 3-6 months in a year based on a permit (4)
	Access for more than 6 months in a year based on a permit (5)	No access at all (6)
	No official access but do it anyway (7)	Other, specify (8):

Implementing institution	Customary community institution (1)	Local government (community level) (2)
	Provincial government (3)	National government (4)
	Local NGO (5)	Government agency (6)
	Community organisation (7)	No institutional control (8)
	Other, specify (9) :	

43.1 During the last 12 months, what were the **most important problems/shocks, natural or economic or social**, your household faced (as far as negative impacts to your household, household members' livelihoods and/or the household's agriculture/livestock/fish breeding)?
[Enumerator to list up to eight events, from "most important" (1st) to "less important". Enumerator can provide examples of specific events only if respondent does not understand the question once it is read twice.]

43.2 For each of these events, **how severe** was it for your household? [*"severity"*]

43.3 For each of these events, **how much damage** [in local currency] did it cause to your household? [*"damage"*]

Severity=	Very low (1)	Low (2)	Medium (3)	High (4)	Very high (5)
1 st Event # =		Severity=		Damage=	
2 nd Event # =		Severity=		Damage=	
3 rd Event # =		Severity=		Damage=	
4 th Event # =		Severity=		Damage=	
5 th Event # =		Severity=		Damage=	
6 th Event # =		Severity=		Damage=	
7 th Event # =		Severity=		Damage=	
8 th Event # =		Severity=		Damage=	

1.Drought	2.Dry spell	3.Flood	4.Erratic rainfall	5.Frost
6.Hail	7.Snow or blizzard	8. Avalanche	9.Landslide/erosion	10.Earthquake
11.Typhoon/hurricane	12.Tornado	13.Strong wind	14.Dust storm	
15.High temperature	16.Low temperatures	17.Subzero temperatures	18.Fire	
19.Insect attack	20.Crop pests	21.Bad seeds	22.Lack of fertilizer &/or too expensive	
23.Soil problems	24.Irrigation problems	25.Livestock disease	26.Electricity shortage	
27.Labour shortage	28.Theft	29.Low market prices for crops / livestock	30.Poor market access	
31.Family sickness	32. Death of HH member	33.Debt	34.Bandh	35.Local conflict
36.National conflict	37.Taxes	38.Unemployment	39.Lose house	40. Failure of HH business
41.Personal violence	42. Intimidation	43.Corruption	44.Imprisonment	45. Wildlife related shocks
46. Gender based violence	47. Divorce/Separation	47. Other, specify:		

44 Who of the following assisted the household to deal with the effects of the events you just mentioned [*in question 43*]?
[Read out all possibilities and ask if help was provided. More than one option possible.]

Family		Friends		People of the community	
Insurance company		Financial institution		Local government	
Provincial government		National government		Local NGO	
IO (e.g. WFP, FAO)		Community organisation		Women SHG/ cooperative	
Foreign government				Has assisted (1)	Has not assisted (2)

Which of the following things did your household do to deal (cope) with the events you just mentioned [in question 43]?
 [More than one option possible. Do not read put all options. If necessary give some examples. Record the responses for all events listed in question 43.
 Put “-6” if not appropriate.]

45

Relied on less preferred/ less expensive food		Received compensation	
Relied on relief assistance		Bought food on credit	
Borrowed money from bank		Borrowed money from moneylender	
Borrowed money from relatives		Borrowed money from friends	
Borrowed money from cooperative/village fund		Begged for money or food	
Spent savings on food		Collected wild food	
Collected and sold firewood/NTFP		Reduced proportions/number of meals	
Slaughtered livestock for food		Sold livestock	
Restricted consumption of adults		Skipped day without eating	
Consumed seed stocks held for next season		Took children out of school to work	
Moved children to a less expensive school		Sent children to school to benefit from incentive	
Sent children to work outside the HH		Non-working HH member started to work	
Sent women and children to live with relatives		HH member sought wage employment in same community	
HH members sought shelter in another place within the same community (displaced)		HH members sought shelter in other communities (displaced)	
Reduced spending on education		HH member sought work elsewhere (migration)	
Reduced spending on clothes		Reduced spending on health	
Sold farmland		Leased out farmland	
Sold agricultural assets (tools, seeds)		Farmland was left fallow	
Changed farming practices (delayed sowing or harvesting, early sowing or harvesting)		Used alternate crop varieties	
Changed grazing practices		Used new variety of the same crop	
Other, specify:		Sold HH assets (incl. small animals, jewellery)	
		Done (1)	Not done (2)

46

Following the events you just mentioned [in question 43]: How many months did it take your household to return to a satisfactory situation?
 [The return to satisfactory situation is from the combined effect of all events listed in question 43. Record answer in months (for example, 1 years = 12 months)]

Months= Less than one month (0) Our household has not recovered yet (-2)

47.1 During the **last 10 years**, which of the events you just mentioned [in question 43] have **occurred before**? [“prior occurrence”]
 [Repeat events mentioned in question 43. Stick to the numbers used in question 43.]

47.2 Have these events **you just mentioned** [in question 47.1] changed in **frequency** over the **last 10 years**?
 [“change in frequency”] [Only record for events that occurred before.]

47.3 Has the **severity** of the events you just mentioned [in question 47.1] changed over the **last 10 years**?
 [“change in severity”] [Only record for events that occurred before.]

Prior occurrence		Has occurred before (1)		Has not occurred before (2)			
Change in frequency		Less frequent (1)		No change (2)		More frequent (3)	
Change in severity		Less severe (1)		No change (2)		More severe (3)	
1 st event		Prior occurrence		Change in frequency		Change in severity	
2 nd event		Prior occurrence		Change in frequency		Change in severity	
3 rd event		Prior occurrence		Change in frequency		Change in severity	
4 th event		Prior occurrence		Change in frequency		Change in severity	
5 th event		Prior occurrence		Change in frequency		Change in severity	
6 th event		Prior occurrence		Change in frequency		Change in severity	
7 th event		Prior occurrence		Change in frequency		Change in severity	
8 th event		Prior occurrence		Change in frequency		Change in severity	

48.1 During the last 10 years, have you observed any changes in your environment which **have not occurred before**?
 Yes (1) No (2) [skip to question 49.1]

48.2 What kind of events have you observed which **had not occurred in your community before**?
[More than one option possible. Do not read out all options. Just ask question and select the appropriate one. Put "-6" if not applicable.]

Drought		Dry spell		Flood	
Erratic rainfall		Frost		Hail	
Snow or blizzard		Avalanche		Landslide/erosion	
Earthquake		Tornado		Typhoon/hurricane	
Strong wind		Dust storm		Fire	
High temperatures		Low temperatures		Subzero temperatures	
Livestock disease		Insect attack		Crop pests	
Soil problems		Human disease		Irrigation problems	
Occurrence of new plant species		Occurrence of new animal species (e.g. mosquitoes)			
Other, specify:					
				Observed (1)	Not observed (2)

49.1 Overall, would you say that the temperature patterns in your community have changed over the **last 10 years**?
 Yes (1) No (2) [skip to question 49.3]

49.2 How has the temperature patterns changed in your community over the **last 10 years**?
[More than one option possible. Do not read out all options. Just ask question and select the appropriate one. Put "-6" if not applicable.]

It has significantly warmed		It has slightly warmed		It has significantly cooled	
It has slightly cooled		Hot seasons have become hotter		Hot seasons have become cooler	
Cold seasons have become colder		Cold seasons have become warmer		Frost is more common	
Frost is less common		Heat waves are more frequent		Cold waves are more frequent	
No perceived changes		Other, specify:			
				Observed (1)	Not observed (2)

49.3 Overall, would you say that the temperature patterns in your community have changed over the **last 10 years**?
 Yes (1) No (2) [skip to question 50.1 if answers to both questions 49.1 and 49.3 are 'No']

49.4 How has the precipitation patterns changed in your community over the **last 10 years**?
[More than one option possible. Do not read out all options. Just ask question and select the appropriate one. Put "-6" if not applicable.]

Annual amount has increased		Annual amount has decreased		Summer precipitation has increased	
Summer precipitation has decreased		Winter precipitation has increased		Winter precipitation has decreased	
Timing of precipitation has advanced		Timing of precipitation is delayed		Number of rainy days have increased	
Number of rainy days have decreased		Number of snowfall days have increased		Number of snowfall days have decreased	
Precipitation intensities have increased		Precipitation intensities have decreased		Hail storms are more frequent	
Hail storms are less frequent		More erratic precipitation		No perceived changes	
Other, specify:					
				Observed (1)	Not observed (2)

49.5	Because of these changes occurring in your village, has your household done any of the following: [More than one option possible. Do not read out all options. If necessary give some examples. Put "-6" if not applicable.]			
	Given up planting certain types of crops		Introduced new crop types and varieties	
	Given up rearing certain types of livestock		Introduced new types of livestock	
	Changed grazing practices		Changed farming practices (delayed sowing or harvesting, early sowing or harvesting)	
	Given up off-farm activities		Taken on new off-farm activities (i.e. wage labour)	
	Stopped migrating for work		Migrated for work	
	Farmland was left fallow		Abandoned farming	
	Household invested in irrigation infrastructure		Community invested in irrigation infrastructure	
	Household invested in disaster preparedness		Initiation of community level disaster preparedness programmes	
	Have done nothing (go to question 49.6)		Other, specify:	
Done (1)	Not done (2)			
49.6	[Ask only if changes have been observed but no action has been undertaken.] Why did not your household do anything in response to these changes (observed in 49.2 and/or 49.4) occurring in your community?			
	Aware, but does not expect a disaster		Expects a disaster, but does not anticipate loss	
	Expects loss, but not serious loss		Expects loss, but have accepted as cost of gaining locational benefits	
	Have no resources to undertake loss reduction measures		The changes are too erratic in nature to respond	
	Other, specify:			
Yes (1)	No (2)			
50.1	What is the percentage contribution of the following sources to the household food consumption in the last 12 months? [Fill in approximate percentage. Put "0" if not applicable. Proceed until it adds up to 100%. Put "0" if not appropriate.]			
	Self-produced		%	
	Bought from store/market		%	
	Food received as food aid from NGOs/IOs		%	
	Food received as food aid from friends and relatives		%	
	Subsidised food from public distribution system		%	
	From share-cropping of leased out land		%	
	Other, specify:		%	
		Total %		
50.2	During the last 12 months, for how many months did you have sufficient food to feed all members of your household? Months= <input type="text"/>			
50.3	During the last 12 months, how often did any member of your household eat fewer meals, or smaller portions, than usual because there was not enough food?			
	Never (1)	Once or twice (2)	Once a month (3)	A few times a month (4)
	About once a week (5)	A few times a week (6)	Every day (7)	Don't know (-1)
50.4	During the past 12 months, did any member of your household ever experience one full day with no food to eat?			
	Never (1)	Once or twice (2)	Approximately once a month (3)	
	Approximately every two weeks (4)	Approximately every week (5)	Don't know (-1)	
51	For how many months do your current food stocks last to feed all household members? [Put value in months, i.e. 2 years=24 months. If respondent is unsure ask for approximation. Put "0" if not appropriate.]			
	Rice stocks		Paddy stocks	
	Wheat flour stocks		Maize stocks	
	Barley stocks		Buck wheat stocks	
		Wheat grain stocks		
		Millet stocks		
		Potato stocks		

52 Whether **purchased, home produced, or received in-kind**: What is the **total value** of the following **food items** consumed by your household **in the last 30 days**?
[Put value in local currency. Total value=what HH would have to spent on the local market. If respondent is unsure ask for approximation. Put "0" if not consumed.]

Grains & cereals (rice/wheat/maize/millet...)		Pulses, lentils, beans	
Cooking oil, ghee, butter		Meat, eggs, fish	
Milk, curd, cheese, other milk products		Vegetables, potatoes	
Fresh fruits & nuts		Spices & condiments (salt/masala/garlic...)	
Sugar, honey, sweets, tea, soft drinks		AB	
Cigarettes, bindis, other tobacco products		Meals taken outside home	
Bread, biscuits, noodles		Miscellaneous other food expenditures	

53 What is the **total value** of the following **non-food items and services purchased or received in-kind** by your household during the **last 12 months**?
[Put value in local currency. Total value=what HH would have to spent on the local market. If respondent is unsure ask for approximation. Put "0" if not spent on an item.]

Medical expenses, health care		Education (school fees, books, uniforms)	
Clothing, shoes, other apparel		Personal care items (soap/cosmetics...)	
Fuels & electricity (cooking/lighting)		Transportation & communication	
Agricultural tools, seeds, fertilizers, hiring labour		Veterinary expenses, animal fee/fodder	
Celebrations, social events, rituals		Miscellaneous non-food expenditure	

54 What is the **percentage contribution** of the following sources to the **total yearly household income**?
[Fill in approximate percentage. Put "0" if not applicable. Proceed until it adds up to 100%. Put "0" if not appropriate.]

Crop, vegetable, fruit sales	%	Livestock & livestock product sales	%
Fish sales	%	Forest products sales (firewood/NTFP)	%
Herb sales	%	Medical & aromatic plant sales	%
Daily wage (in community/area)	%	Salaried employment (in community/area)	%
Tourism (service provision/business)	%	Other business/trade income	%
Rent, interest on loan, or returns from share	%	Pension	%
Remittances	%	Development aid projects	%
Gifts or begging	%	Governmental social benefit schemes	%
Total % column 1	%	Total % column 2	%
		Total column 1 + column 2	%

55.1 What was the total value [in local currency] of remittances, **cash and in-kind**, that your household has received during the last 12 month **from people within the country**?
[Enumerator to remind respondent that all responses are confidential. Record the value of remittances in local currency. Put "0" if not appropriate.]

From male migrants:		From female migrants:	
---------------------	--	-----------------------	--

55.2 What was the total value [in local currency] of remittances, **cash and in-kind**, that your household has received during the last 12 month **from people outside the country**?
[Enumerator to remind respondent that all responses are confidential. Record the value of remittances in local currency. Put "0" if not appropriate.]

From male migrants:		From female migrants:	
---------------------	--	-----------------------	--

56.1 During the last 12 months, how many **male** members of your household **managed/run** their own **non-agricultural (also non-hunting, non-forestry, and non-fishing)** business for 0 to 3 months, 4 to 6 months, 7 to 9 months, and 10 months or more?
[During the 12 month preceding the survey. Put "0" if not applicable.]

# 0-3 months		# 4-6 months		# 7 to 9 months		# 10 months or more	
--------------	--	--------------	--	-----------------	--	---------------------	--

[Skip to question 56.3 if "0" in all fields]

56.2 What kind of business was **managed/run** by the **male** members of your household?
[Select only one option. If there is more than one business, select the one which contributes most to the HH income.]

Mining and Quarrying (1)	Manufacturing (2)	Electric, Gas & Water Supply (3)	Construction (4)
Wholesale & Retail trade (5)	Hotels & Restaurants (6)	Transport, Storage & Communications (7)	
Real Estate, Renting & Business Activities (8)	Financial Intermediation (9)		
Public Administration (10)	Defence (11)	Education (12)	Health & Social Work (13)
Other Community, Social & Personal Service Activities (14)	Private Households with Employed Persons (15)		
Extra-Territorial Organisations & Bodies (16)			

56. 3	<p>During the last 12 months, how many female members of your household managed/run their own non-agricultural (also non-hunting, non-forestry, and non-fishing) business for 0 to 3 months, 4 to 6 months, 7 to 9 months, and 10 months or more?</p> <p><i>[During the 12 month preceding the survey. Put "0" if not applicable.]</i></p> <table border="1"> <tr> <td># 0-3 months</td> <td><input type="text"/></td> <td># 4-6 months</td> <td><input type="text"/></td> <td># 7 to 9 months</td> <td><input type="text"/></td> <td># 10 months or more</td> <td><input type="text"/></td> </tr> </table> <p><i>[Skip to question 57.1 if "0" in all fields]</i></p>	# 0-3 months	<input type="text"/>	# 4-6 months	<input type="text"/>	# 7 to 9 months	<input type="text"/>	# 10 months or more	<input type="text"/>																
# 0-3 months	<input type="text"/>	# 4-6 months	<input type="text"/>	# 7 to 9 months	<input type="text"/>	# 10 months or more	<input type="text"/>																		
56. 4	<p>What kind of business was managed/run by the female members of your household?</p> <p><i>[Select only one option. If there is more than one business, select the one which contributes most to the HH income.]</i></p> <table border="1"> <tr> <td>Mining and Quarrying (1)</td> <td>Manufacturing (2)</td> <td>Electric, Gas & Water Supply (3)</td> <td>Construction (4)</td> </tr> <tr> <td>Wholesale & Retail trade (5)</td> <td>Hotels & Restaurants (6)</td> <td colspan="2">Transport, Storage & Communications (7)</td> </tr> <tr> <td colspan="2">Real Estate, Renting & Business Activities (8)</td> <td colspan="2">Financial Intermediation (9)</td> </tr> <tr> <td>Public Administration (10)</td> <td>Defence (11)</td> <td>Education (12)</td> <td>Health & Social Work (13)</td> </tr> <tr> <td colspan="2">Other Community, Social & Personal Service Activities (14)</td> <td colspan="2">Private Households with Employed Persons (15)</td> </tr> <tr> <td colspan="4">Extra-Territorial Organisations & Bodies (16)</td> </tr> </table>	Mining and Quarrying (1)	Manufacturing (2)	Electric, Gas & Water Supply (3)	Construction (4)	Wholesale & Retail trade (5)	Hotels & Restaurants (6)	Transport, Storage & Communications (7)		Real Estate, Renting & Business Activities (8)		Financial Intermediation (9)		Public Administration (10)	Defence (11)	Education (12)	Health & Social Work (13)	Other Community, Social & Personal Service Activities (14)		Private Households with Employed Persons (15)		Extra-Territorial Organisations & Bodies (16)			
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Extra-Territorial Organisations & Bodies (16)																									
57. 1	<p>During the last 12 months, how many male members of your household were employed in non-agricultural (also non-hunting, non-forestry, and non-fishing) occupations for 0 to 3 months, 4 to 6 months, 7 to 9 months, and 10 months or more?</p> <p><i>[During the 12 month preceding the survey. Put "0" if not applicable.]</i></p> <table border="1"> <tr> <td># 0-3 months</td> <td><input type="text"/></td> <td># 4-6 months</td> <td><input type="text"/></td> <td># 7 to 9 months</td> <td><input type="text"/></td> <td># 10 months or more</td> <td><input type="text"/></td> </tr> </table> <p><i>[Skip to question 57.3 if "0" in all fields]</i></p>	# 0-3 months	<input type="text"/>	# 4-6 months	<input type="text"/>	# 7 to 9 months	<input type="text"/>	# 10 months or more	<input type="text"/>																
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58. 1	<p>If your household wanted to borrow money, whom would you approach first?</p> <p><i>[Select only one option]</i></p> <table border="1"> <tr> <td>1. Relatives</td> <td>2. Friends</td> <td>3. Village fund</td> </tr> <tr> <td>4. Village government</td> <td>5. Rural credit cooperative</td> <td>6. Private money lender</td> </tr> <tr> <td>7. Microfinance institution</td> <td>8. Government bank</td> <td>9. Private bank</td> </tr> <tr> <td>10. Joint village & bank fund</td> <td>11. Joint development project & bank fund</td> <td>12. Self help group</td> </tr> <tr> <td>13. Women's group</td> <td>14. Other community organisations</td> <td>15. Other, specify:</td> </tr> </table>	1. Relatives	2. Friends	3. Village fund	4. Village government	5. Rural credit cooperative	6. Private money lender	7. Microfinance institution	8. Government bank	9. Private bank	10. Joint village & bank fund	11. Joint development project & bank fund	12. Self help group	13. Women's group	14. Other community organisations	15. Other, specify:									
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59. 1	<p>Is your household currently in debt? <i>[Enumerator to remind respondent that all responses are confidential]</i></p> <table border="1"> <tr> <td>No (1) <i>[skip to question 60]</i></td> <td>Yes, a little (2)</td> <td>Yes, a moderate amount (3)</td> <td>Yes, a lot (4)</td> </tr> </table>	No (1) <i>[skip to question 60]</i>	Yes, a little (2)	Yes, a moderate amount (3)	Yes, a lot (4)																				
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59. 2	To whom is the majority of this debt owed?		
	1. Relatives	2. Friends	3. Village fund
	4. Village government	5. Rural credit cooperative	6. Private money lender
	7. Microfinance institution	8. Government bank	9. Private bank
	10. Joint village & bank fund	11. Joint development project & bank fund	12. Self help group
	13. Women's group	14. Other community organisations	15. Other, specify:
60	Does your household have an insurance policy that covers the following risk?		
	Property damage	Crop damage	Livestock death
	Health	Death (Life insurance)	Other, specify:
	Yes (1)	No (2)	
61	How many of the following items does your household have? <i>[Put "0" if not applicable.]</i>		
	# of televisions		
	# of dish antennae		
	# of radios		
	# of mobile phones		
	# of other kind of telephones		
	# of motor vehicles (motorcycles, cars etc.)		
	# of non-motorised vehicles (carts, bicycles etc.)		
	# of ox/male buffaloes/draught and pack animals		
	# of tractors/ power tillers		
	# of mechanised thresher		
	# of sewing machines		
	# of drinking water storage pots (metallic)		
	# of drinking water storage pots (clay)		
# of other assets, specify:			
62. 1	How easy is it for your household to influence the decision making process at the local level ?		
	Very difficult (1)	Difficult (2)	Neither/nor (3)
	Easy (4)	Very easy (5)	
62. 2	How easy is it for your household to influence the decision making process at a higher level ?		
	Very difficult (1)	Difficult (2)	Neither/nor (3)
	Easy (4)	Very easy (5)	
63. 1	How often are there conflicts over the use of water in your community?		
	Never (1)	Rarely (2)	Sometimes (3)
	Often (4)	Always (5)	
63. 2	How often are there conflicts over the use of water between your community and other communities?		
	Never (1)	Rarely (2)	Sometimes (3)
	Often (4)	Always (5)	
64. 1	Are there any community organisations (e.g. self-help group, forestry users group, water users group and women group) in your community?		
	Yes (1)	No (2) <i>[End interview]</i>	
64. 2	How many female and male members of the household are members of a community organisation (e.g. self-help group, forestry users group, water users group, and women group)? <i>[Put "0" if not appropriate.]</i>		
	Female	Male	
64. 3	How many female and male members of the household are in the executive body of a women's group? <i>[Put "0" if not appropriate.]</i>		
	Female	Male	